Ifw

I hereby certify the pondence is being filed by depositing it with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to MS: Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

**PATENT** 

Paper No.

File: Peregrin-P1-00

Date: November 13, 2006

Signed: (Day No. 2005)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : William John Delinsky, Timothy James Fish, Michael D.

Morency, David Gary Walker

Serial No. : 09/692,697

Filed : October 19, 2000

For : A REFERRER-CONTROLLED SYSTEM FOR

TRANSFERING AN INBOUND COMMUNICATION TO ONE OF A PLURALITY OF FINANCIAL ASSISTANCE

**PROVIDERS** 

Group Art Unit : 3624

Examiner : Hamilton, Lalita M

MS: Amendment

Honorable Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

#### SIR:

Please enter the following enclosed documents in the above-identified patent application.

- 1. Information Disclosure Statement; and
- 2. PTO Form 1449 and Cited Art.

APPLICANT CLAIMS SMALL ENTITY STATUS. The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Ser. No. 09/692,697 Atty. Ref. Peregrin-P1-00 Art Unit 3624

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: November 13, 2006

Peter K. Trzyna (Reg. No. 32,601)

P.O. Box 7131 Chicago, IL 60680-7131 (312) 240-0824 I hereby contact this conserved as first class mail in an envelope with sufficient postage and addressed to MS: Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

**PATENT** 

Paper No.

File: Peregrin-P1-00

Date: November 13, 2006

Signed: Peter K. Trzyna (Reg. No. 82,601)

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3624

Examiner

Hamilton, Lalita M

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Honorable Commissioner of Patents

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Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

#### SIR:

This Information Disclosure Statement is being filed pursuant to the duty of disclosure, candor, and good faith embodied in 37 C.F.R. §§ 1.56 and 1.97 owed by the inventor, the inventor's assignee substantively involved in the application, and the patent attorney to the United States Patent and Trademark Office.

It is respectfully requested that his Information Disclosure Statement be entered and the reference(s) listed on the attached PTO-1449 be considered by the Examiner and

Ser. No. 09/692.697 Atty. Ref. Peregrin-P1-00

Art Unit 3624

made of record.

In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are

enclosed.

In accordance with 37 C.F.R. § 1.97(g), (h), this Information Disclosure

Statement is not to be construed as representation that a search has been made, and is not to

be construed to be an admission that the information disclosed is, or is considered to be, prior

art with respect to the present application or material to patentability as defined in 37 C.F.R. §

1.56. This Information Disclosure Statement shall not be construed to mean that no other

material information, as defined in 37 C.F.R. § 1.56, exists.

APPLICANT CLAIMS SMALL ENTITY STATUS. This Information Disclosure

Statement is being filed after receipt of the first Office Action reflecting an examination on

merits. Thus, in accordance with 37 C.F.R. § 1.97(c), a fee is due. Should any additional fees

be deemed necessary, the Commissioner is authorized to charge any deficiency or to credit any

over payment to Deposit Account No. 50-0235.

Respectfully submitted.

Date: November 13, 2006

Peter K. Trzyna

(Reg. No. 32,60/

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Chicago, IL 60680-7131

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-2-

L VON	5 2006			Page	1 of 1
Form PTO-1449 (modified)		Atty. Docket No.	Peregrin-P1-00	Serial No. 09/692,697	
List of Patents and Publications for		Applicant: Wil	lliam John Delinsky	et al.	
		Filing Date: October 19, 20		Group: 3624	
U.S. Patent Documents		oreign Patent Docun	nents	Other Art	
See Page 1	See Page 1			See Page 1	

## **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	Al	5,825,869	Oct. 20, 1998	Brooks et al.	379	265	Apr. 23, 1996
	A2	5,884,032	Mar. 16, 1999	Bateman et al.	392	200.34	Sep. 25, 1995

# **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
-	B2						

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	

<del> </del>	
Examiner:	DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.